3C10 Rec'd PCT/PTO 2 7 MAY 2005

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 3081.117US01

U.S. APPLIGATION NO. of known see 37 CFR 1.5)

| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | | | | | | |
|--|---|---|--|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO. | | INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | |
| PCT/EP2003/010425 18 September 2003 29 November 2002 TITLE OF INVENTION | | | | | | | | |
| PRODUCTION OF STRUCTURED HARD CHROME LAYERS | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US LINDE, Rudolf and DURDOTH, Stefan | | | | | | | | |
| | | ates Designated/Elected Office (DO/E | O/US) the following items and other information: | | | | | |
| 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | |
| | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. 🔽 The | The US has been elected (Article 31). | | | | | | | |
| 5. 🗸 A | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | |
| a. | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| b. | b. 🖊 has been communicated by the International Bureau. | | | | | | | |
| c. | is not required, as the appli | cation was filed in the United States Recei | ving Office (RO/US). | | | | | |
| 6. 🗹 Ar | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | |
| a. | a. is attached hereto. | | | | | | | |
| b | has been previously submi | tted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. 🗹 Ar | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | |
| а | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| b | b. have been communicated by the International Bureau. | | | | | | | |
| С | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | |
| d | d. have not been made and will not be made. | | | | | | | |
| 8. | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | |
| 9. 🗹 Ar | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | |
| Items 11 | to 20 below concern document(s | s) or information included: | | | | | | |
| 11. 🗹 Ar | n Information Disclosure Statement | under 37 CFR 1.97 and 1.98. | | | | | | |
| 12. Ar | n assignment document for recording | ng. A separate cover sheet in compliance v | with 37 CFR 3.28 and 3.31 is included. | | | | | |
| 13. 🗹 A | preliminary amendment. | | | | | | | |
| 14. Ar | An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. LA | A substitute specification. | | | | | | | |
| 16 A | A power of attorney and/or change of address letter. | | | | | | | |
| | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | |
| 17. | computer-readable form of the seq | dence listing in accordance with PC1 Rule | 10101.2 and 01 01 10 1.021 1.020. | | | | | |
| | | national Application under 35 U.S.C. 154(d | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| U.S. APPLICATION NO. (PKINING, SPE 33 OF 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2003/010425 | | | ATTORNEY'S DOCKET NUMBER | | | | | |
|--|---|--|--------------------------|-----------------------|--------------|--|--|--|
| | | | 3081.117US01 | | | | | |
| The following fees have been submitted 21. Basic national fee | | | | \$ | PTO USE ONLY | | | |
| 21. 🗾 Basic nati | ional fee | 300.00 | | | | | | |
| PCT Article 33(1)-(| on fee nary examination report prepa 4) | \$ 200.00 | | | | | | |
| International S International Search R | e .445(a)(2)) has been paid on t earching Authority teport prepared and provided t | \$ 400.00 | | | | | | |
| тот | AL OF 21, 22 and 23 = | \$ 900.00 | | | | | | |
| Additional fee for sequence listing | specification and drawings file or computer program listing file or each additional 50 sheets o | | | | | | | |
| Total Sheets Ex | | n additional 50 or fraction up to a whole number) | RATE | | | | | |
| - 100 = | /50 = | | x \$250 | \$ 0.00 | | | | |
| Surcharge of \$130.00 claimed priority date (| for furnishing the oath or deckars CFR 1.492(h)). | \$ 0.00 | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | | |
| Total claims | 12 - 20 = | 0 | × \$50 | \$ 0.00 | · | | | |
| Independent claims | 1 -3= | 0 | x \$200 | \$ 0.00 | | | | |
| MULTIPLE DEPENDE | NT CLAIM(S) (if applicable) | | + \$360 | \$ 0.00 | | | | |
| | ······································ | TOTAL OF ABOVE | CALCULATIONS = | \$ 900.00 | | | | |
| Applicant claims s | small entity status. See 37 CFF | R 1.27. Fees above are reduc | ced by 1/2. | | | | | |
| | | \$ 900.00 | | | | | | |
| Processing fee of \$13 claimed priority date (| 0.00 for furnishing the English 37 CFR 1.492(i)). | \$ 0.00 | | | | | | |
| | н | TOTAL | NATIONAL FEE = | \$ 900.00 | | | | |
| | enclosed assignment (37 CFR er sheet (37 CFR 3.28, 3.31). | \$ 0.00 | | | | | | |
| | | TOTAL F | EES ENCLOSED = | \$ 900.00 | | | | |
| | | Amount to be refunded: | \$ | | | | | |
| | | | | Amount to be charged: | \$ | | | |
| a. A check in the amount of \$ 900.00 to cover the above fees is enclosed. | | | | | | | | |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0631 A duplicate copy of this sheet is enclosed. | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition of revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | |
| Douglas J. CHRIST | _ | 10000 | | | | | | |
| | , Skaar & Christensen, P.A | BERT | | | | | | |
| 4800 IDS Center 80 South 8th Street | | /LIX1 | | | | | | |
| Minneapolis, Minne | sota 55402 | | | | | | | |
| | | ON NUMBER | | | | | | |



JC20 R PCT/PTO 2 7 MAY 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PCT RECEIVING OFFICE (RO/US)

In re the application of:

Attorney Docket No.: 3081.117US01

LINDE et al.

Application No.:

Not Yet Assigned

Filed:

Concurrently Herewith

For:

PRODUCTION OF STRUCTURED HARD CHROME LAYERS

SUBMISSION OF DOCUMENTS

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Enclosed for filing in the above-identified application are the following documents:

- 1. Certificate of Express Mailing;
- 2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 2 pages;
- 3. English Translation of the International Application 12 pages;
- 4. Executed Declaration and Power of Attorney 3 pages;
- 5. Information Disclosure Statement and Form PTO-1449 3 pages;
- 6. Copies of 8 cited references;
- 7. Preliminary Amendment 6 pages
- 8. Copy of International Search Report dated 24 February 2004 4 pages;
- 9. Copy of International Preliminary Examination Report dated 08 July 2004;
- 10. Front Page of PCT Publication No. WO 2004/050960 A1 1 page;
- 11. Check in the amount of \$900.00; and
- 12. Return Postcard.

Respectfully submitted,

Curtis B. HERBERT Registration No. 45,443

Patterson, Thuente, Skaar & Christensen, P.A. 4800 IDS Center 80 South Eighth Street Minneapolis, Minnesota 55402-2100 (612) 349-3008

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV 578266234 US. Date of Deposit: 27 May 2005. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail top PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mai Lee Vang

Name of Person Making Deposit